**Obtaining FINRA CRD# and Filing Form U-4**

1. Follow this link: <http://www.finra.org/Industry/Compliance/Entitlement/p120963>
2. Complete and submit Super Account Administrator (SAA) form
3. FINRA will email you CRD# and log-in information
4. After obtaining FINRA log-in, file Form ADV
5. After Form ADV is filed, you can complete Form U-4